



Chloe Carpenter KC

Call 2001 | Silk 2020

"Her advocacy is absolutely brilliant, clients have a huge amount of trust in her and she is incredibly dedicated to what she does. She is just unflustered in every way and approaches things impeccably."

Chambers & Partners

🔀 cc@fountaincourt.co.uk 🛛 窗 +44 (0)20 7583 3335

Chloe has a broad commercial and regulatory / disciplinary practice.

"An excellent advocate" (*Chambers & Partners*), "She is forensic in her approach and quick at getting back to you" (Chambers & Partners 2019), "Her advocacy is absolutely brilliant" (Chambers & Partners 2022), "Chloe is an incredibly impressive barrister" (The Legal 500), "She is extremely responsive and user-friendly, and her attention to detail is phenomenal. She is an excellent advocate: tenacious and charming when addressing a difficult tribunal, and she is extremely effective in cross-examination" (The Legal 500).

Chloe's commercial practice includes commercial litigation, banking, financial services and professional negligence and appearing in the DIFC Court of First Instance and DIFC Court of Appeal. She acted in *Hilton v Barker Booth and Eastwood* and in credit crunch litigation arising from the sale of structured notes in *Al Sulaiman v Plurimi* and *Al Khorafi v Sarasin-Alpen*. She has acted on a number of LIBOR manipulation claims, including *Newham v Barclays Bank*. Chloe was shortlisted as Professional Negligence Silk of the Year by *The Legal 500* in its 2023 Bar Awards.

Chloe has extensive experience in all aspects of professional discipline/ regulation and was shortlisted as Professional Discipline Junior of the Year in the 2019 Chambers & Partners Bar Awards prior to taking Silk in 2020. She acted for the SRA in the QASA judicial review, *SRA v Malins, SRA v Blavo* and *SRA v James, Macgregor and Naylor*, for the NMC in *Beety and others v NMC* and regularly advises regulated persons or entities on matters of regulatory compliance or on investigations and acts for respondents who are subject to regulatory proceedings, e.g. *SRA v Baker McKenzie* and *SRA v Mishcon de Reya*.

Chloe is accredited as a mediator and also accepts appointments as an arbitrator. She was appointed as a Recorder in May 2023.

Recent Highlights

Al Khorafi & Others v Sarasin Alpen & Another, DIFC Court of First Instance, Claim No CFI 026/2009 Judgment 7 October 2015 and DIFC Court of Appeal, Claim No CA 003/2015 Judgment 3 March 2016

Acting for a financial services provider on an appeal to the DIFC Court of Appeal against findings that it had missold structured Notes to claimants on a leveraged basis, and on a quantum determination.

London Fountain Court Chambers Fountain Court Temple London EC4Y 9DH

T: +44 (0)20 7583 3335 F: +44 (0)20 7353 0329 E: chambers@fountaincourt.co.uk DX: 5 LDE Singapore 10 Collyer Quay Ocean Financial Centre #40-38 Singapore 049315



SRA v James, SRA v MacGregor & SRA v Naylor [2018] 4 WLR 163

Acting for the SRA in three appeals to the Divisional Court heard at the same time against decisions made by the Solicitors Disciplinary Tribunal not to strike off three solicitors due to exceptional circumstances, leading Heather Emmerson and Andrew Bullock. The appeals were allowed in all three cases and sanctions of striking off substituted. These are now the leading cases on what constitutes exceptional circumstances.

SRA v Malins [2018] EWCA Civ 366

Acting for the SRA in its appeal to the Court of Appeal against the decision of Mostyn J which had held lack of integrity and dishonesty were synonymous concepts. The Court of Appeal held that lack of integrity was not synonymous with dishonesty and that whilst all dishonesty lacked integrity, there can be lack of integrity which is not dishonest. This is now the leading case on the meaning of integrity in the regulatory / disciplinary context.

SRA v Senior, Baker McKenzie & Others

Acting for the firm in its successful defence against allegations made by the SRA at the Solicitors Disciplinary Tribunal arising out of the conduct of an internal investigation into sexual misconduct.

Newham v Barclays Bank

Acting for Newham Council in a claim against Barclays Bank for misrepresentation arising out of the sale by Barclays to Newham of LIBOR linked LOBOs.

Expertise

Administrative & Public Law

Reported Administrative & Public Law cases

SRA v James, SRA v MacGregor & SRA v Naylor [2018] 4 WLR 163

Acting for the SRA on three appeals to the Divisional Court against decisions by the SDT not to strike solicitors off the Roll for dishonest conduct.

SRA v Main [2018] EWHC 3666 (Admin)

Acting for the SRA on the appeal to the Divisional Court.

T: +44 (0)20 7583 3335 F: +44 (0)20 7353 0329 E: chambers@fountaincourt.co.uk DX: 5 LDE Singapore 10 Collyer Quay Ocean Financial Centre #40-38 Singapore 049315

T: +65 6808 6611

2



SRA v Malins [2018] EWCA Civ 366

Acting for the SRA (with Richard Coleman KC) on the appeal to the Court of Appeal concerning the meaning of the concept of integrity/lack of integrity in the regulatory context.

Beety & Others v NMC [2017] EWHC 3232 (Admin)

Acting for the Nursing and Midwifery Council ('NMC') (with Timothy Dutton CBE KC) in the successful defence of a judicial review. The Registrar of the NMC had made a decision that the indemnity arrangement held by members of Independent Midwives UK Ltd did not provide appropriate cover for attending women in childbirth. The claimants' case (that the decision had been made in error of law and/or breached their rights under EU law and/or breached their Article 1 Protocol 1 rights under the Human Rights Act) was rejected.

SRA v Blavo [2017] 1 W.L.R. 4514 (High Court) & [2018] EWCA Civ 2250 (Court of Appeal)

Acting for the SRA in a claim for intervention costs.

Moosavi v Law Society [2016] EWHC 1821 (Admin)

Acting for the SRA in opposing an appeal against conditions on a solicitor's practicing certificate.

Lumsdon v Legal Services Board, BSB & SRA [2014] EWHC 28 (Admin)

Acting for the SRA in the QASA judicial review.

Ogunniyi & Adhikari v SRA [2014] EWHC 3861 (Ch) & [2014] EWHC 1835 (Ch)

Acting for the SRA in Chancery proceedings arising from an intervention.

Al Sulaiman v Credit Suisse & Plurimi [2013] EWHC 400 (Comm)

Acting (with Patricia Robertson KC) for the second defendant in the defence of a claim for alleged mis-selling of structured notes and alleged mismanagement of an advisory portfolio, which included defending claims of alleged breaches of COBs rules.

SRA v Thaker

Acting for the SRA in disciplinary proceedings in 2012 (with Timothy Dutton CBE KC). Also acting for the SRA in a judicial review application brought by Mr Thaker during the course of those proceedings (*Thaker v SRA* [2012] EWHC 432 (Admin)).

London Fountain Court Chambers Fountain Court Temple London EC4Y 9DH

T: +44 (0)20 7583 3335 F: +44 (0)20 7353 0329 E: chambers@fountaincourt.co.uk DX: 5 LDE Singapore 10 Collyer Quay Ocean Financial Centre #40-38 Singapore 049315



SRA v Yildiz

Acting for the SRA on a solicitor's claim for withdrawal of an intervention and for the SRA in the subsequent disciplinary proceedings.

Law Society v Baxendale-Walker & Auden

Acting (with Timothy Dutton CBE KC) for the Law Society on Mr Baxendale-Walker's appeal from phase 1 of the SDT disciplinary findings and on the Law Society's successful cross-appeal on costs (Divisional Court [2006] 3 All ER 675, Court of Appeal [2007] 3 All ER 330). Acting (with Timothy Dutton CBE KC) for the Law Society on Mr Baxendale-Walker's appeal to the Master of the Rolls against conditions on his practising certificate (2005) and responding to an application for judicial review of a decision of the SDT (2005 -2006). Also acting (with Timothy Dutton CBE KC) in the phase 2 disciplinary proceedings (against Mr Baxendale-Walker and Mr Auden).

Notable Administrative & Public Law cases

Regularly advising on issues of regulatory compliance.

Acting for various law firms and individual solicitors in respect of SRA investigations.

Acting for insolvency practitioners in respect of investigations by the Insolvency Practitioners Association.

Anpa Forward v FCA

Acting (with Raymond Cox KC) for the FCA in a judicial review brought against the FCA regarding the Payment Services Regulations.

Acting for the SRA in respect of an appeal to the Court of Appeal in respect of a claim for breach of Article 1 Protocol 1.

Acting (with Richard Coleman KC) for the SRA in relation to injunction proceedings arising out of interventions into two law firms.

Acting for the Law Society on two judicial review claims brought by a solicitor who challenged IPS awards.

Law Society v Farrell

Acting for the Law Society in obtaining summary judgment against the defendant on a claim for breach of trust and a subrogated claim brought by the Law Society in its capacity as trustee of the Compensation Fund (2011).

London Fountain Court Chambers Fountain Court Temple London EC4Y 9DH

T: +44 (0)20 7583 3335 F: +44 (0)20 7353 0329 E: chambers@fountaincourt.co.uk DX: 5 LDE Singapore 10 Collyer Quay Ocean Financial Centre #40-38 Singapore 049315



Law Society v Guyster (2007)

Acting (with Timothy Dutton CBE KC) for the Law Society in an application for an injunction against a solicitor who was the subject of an intervention compelling him to hand over documents to the Law Society.

Aviation & Travel

Notable Aviation & Travel cases

Advising as to the effect of section 75 of the Consumer Credit Act 1974 in the event of tour operator failure.

Acting (with Akhil Shah KC) in a claim arising out of an air accident.

Acting in various claims for loss of baggage and delay raising Warsaw Convention issues.

Banking & Finance

Reported Banking & Finance cases

Newham v Barclays Bank [2021] 2 WLR 1180

Acting (with Raymond Cox KC) for Newham Council in a LIBOR manipulation claim.

Al Khorafi & Others v Bank Sarasin-Alpen & Another

Acting (with Michael Brindle KC) for the first appellant in in its appeal to the DIFC Court of Appeal in Dubai and in respect of a quantum determination. The case concerned the alleged mis-selling of structured notes.

Alexander (as representative of the Property 118 Action Group) v West Bromwich Mortgage Company Limited [2015] EWHC 135 (Comm); [2016] EWCA Civ 496

Acting (with Raymond Cox KC) for a mortgage provider regarding a representative claim for breach of contract brought by a borrower representing several hundred others in an action group in respect of the increase of the margin payable over Bank of England Base Rate on buy-to-let mortgages.

Al Sulaiman v Credit Suisse & Plurimi [2013] EWHC 400 (Comm)

Acting (with Patricia Robertson KC) for the second defendant in the successful defence of a claim for alleged misselling of structured notes and alleged mismanagement of an advisory portfolio.

T: +44 (0)20 7583 3335 F: +44 (0)20 7353 0329 E: chambers@fountaincourt.co.uk DX: 5 LDE Singapore 10 Collyer Quay Ocean Financial Centre #40-38 Singapore 049315



Awal Bank BSC (In Administration) v Al-Sanea [2011] EWHC 1354 (Comm)

Acting for Awal Bank BSC (with Marcus Smith QC) in relation to a claim under a put option agreement.

Notable Banking & Finance cases

Basalt & Palazzo v RBS & Thamesview v RBS

Acting (with Raymond Cox KC) in swaps cases regarding alleged LIBOR misrepresentations and/or swaps mis-selling.

McEneaney & Others v Ulster Bank & Another

Acting (with Patricia Robertson KC) for a bank in the defence of group litigation for alleged mis-selling of investments in a company which held an indirect interest in commercial property.

Acting in claims relating to finance leases raising various issues including of capacity and authority.

Acting (with Michael Brindle KC) in an insurance dispute arising out of mortgage endowment mis-selling.

Box Clever Securitisation litigation

Acting (with Michael Brindle KC and John Taylor KC) in this matter concerning duties of arranger and joint lead manager in the context of a securitisation.

Acting (with Michael Brindle KC and Craig Orr) for Close Brothers Corporate Finance in defending a claim for £180 million by UGC.

Civil Fraud

Notable Civil Fraud cases

Newham v Barclays

Acting (with Raymond Cox KC) in a LIBOR manipulation claim.

Diorite & Miller v Tiku

Acting (with Michael Brindle KC) for claimants in a multi-million dollar deceit claim.

T: +44 (0)20 7583 3335 F: +44 (0)20 7353 0329 E: chambers@fountaincourt.co.uk DX: 5 LDE Singapore 10 Collyer Quay Ocean Financial Centre #40-38 Singapore 049315



Acting (with David Railton KC and Marcus Smith QC) for the insured in a three-week arbitration concerning an insurance dispute with Lloyd's insurers regarding viatical policies.

Acting for a High Street bank in obtaining freezing injunctions against customers.

Commercial Disputes

Reported Commercial Disputes cases

Newham v Barclays Bank [2021] 2 WLR 1180

Acting (with Raymond Cox KC) for Newham Council in a LIBOR manipulation claim.

Al Khorafi & Others v Bank Sarasin-Alpen & Another

Acting (with Michael Brindle KC) for a financial services provider in its appeal to the Dubai International Financial Centre (DIFC) Court of Appeal and in a quantum determination. The claim concerned the alleged mis-selling of structured notes on a leveraged basis, following the non-payment by the investors of margin calls in 2008 leading to the liquidation of their account.

Alexander (as representative of the Property 118 Action Group) v West Bromwich Mortgage Company Limited [2015] EWHC 135 (Comm); [2016] EWCA Civ 496

Acting (with Raymond Cox KC) for a mortgage provider regarding a representative claim brought in the Commercial Court for breach of contract brought by a borrower representing several hundred others in an action group in respect of the increase of the margin payable over Bank of England Base Rate on buy-to-let mortgages.

Al Sulaiman v Credit Suisse & Plurimi [2013] EWHC 400 (Comm)

Acting (with Patricia Robertson KC) for investment advisers in defending a claim in the Commercial Court for alleged mis-selling of structured notes on a leveraged basis and alleged mismanagement of an advisory portfolio, following the investor's failure to pay margin calls in 2008 leading to the liquidation of her account.

Awal Bank BSC (In Administration) v Al-Sanea [2011] EWHC 1354 (Comm)

Acting for the administrators of Awal Bank BSC (with Marcus Smith QC) in relation to a claim in the Commercial Court under a put option agreement.

7E v Vertex [2007] 1 WLR 2175 (Court of Appeal)

Acting (with Guy Philipps QC) in a jurisdictional dispute.

London Fountain Court Chambers Fountain Court Temple London EC4Y 9DH

T: +44 (0)20 7583 3335 F: +44 (0)20 7353 0329 E: chambers@fountaincourt.co.uk DX: 5 LDE Singapore 10 Collyer Quay Ocean Financial Centre #40-38 Singapore 049315



Notable Commercial Disputes cases

Acting for Bupa defending a claim brought by a broker for commissions alleged to be due.

Acting for perfume manufacturers defending a claim for breach of contract brought by a former distributor.

China Development Bank v Angel Shipping

Acting for a Chinese bank in relation to claims under a loan agreement / guarantees.

McEneaney & Others v Ulster Bank & Another

Acting (with Patricia Robertson KC) in the defence of group litigation in the Commercial Court for alleged mis-selling of investments in a company which held an indirect interest in commercial property, the company having been liquidated following the credit crunch.

Diorite & Miller v Tiku

Acting (with Michael Brindle KC) for claimants in a multi-million dollar deceit claim listed for trial in the Commercial Court in 2013.

Acting for a firm of solicitors on a claim for its professional fees.

Acting in claims relating to finance leases raising various issues including of capacity and authority.

Acting (with Jeffrey Chapman KC) in a claim for a declaration that a contract had pursuant to one of its terms been extended. The claim settled shortly before the preliminary issue was due to be heard.

Law Society v Farrell

Acting for the Law Society in obtaining summary judgment against the defendant on a claim for breach of trust and a subrogated claim brought by the Law Society in its capacity as trustee of the Compensation Fund.

Acting (with Mark Simpson KC) for solicitors facing a claim arising out of tax advice given on a Management Buy Out.

Acting for the claimant in a High Court claim for breach of an archiving contract, including various contested applications heard at a CMC. The case settled before trial.

T: +44 (0)20 7583 3335 F: +44 (0)20 7353 0329 E: chambers@fountaincourt.co.uk DX: 5 LDE Singapore 10 Collyer Quay Ocean Financial Centre #40-38 Singapore 049315



Box Clever Securitisation litigation

Acting (with Michael Brindle KC and John Taylor KC) in the Commercial Court in this case concerning duties of arranger and joint lead manager in the context of a securitisation.

Acting (with Michael Brindle KC and Craig Orr) for Close Brothers Corporate Finance in defending a claim for £180 million by UGC.

Advising on credit card issuer liability under section 75 Consumer Credit Act 1974.

Consumer Law

Experience of other aspects of the Consumer Credit Act, e.g. issue of whether an agreement which exceeded the statutory ceiling fell within the Act as a multiple agreement.

Consumer Law

Experience of cases raising issues under the Unfair Terms in Consumer Contract Regulations 1999 and the Unfair Contract Terms Act 1977.

Company, Restructuring & Insolvency

Reported Company, Restructuring & Insolvency cases

SRA v Blavo [2017] 1 W.L.R. 4514 (High Court) & [2018] EWCA Civ 2250 (Court of Appeal)

Acting for the SRA in a matter which raised the issue of whether intervention costs owed by a solicitor were a liquidated debt that could be the subject of a statutory demand.

Sterling Hay Corporate Risks Limited v Mr Wasu [2003] EWHC 748 (Ch)

Acting for a company on an application to restrain advertisement of a winding up petition.

Notable Company, Restructuring & Insolvency cases

Acting for insolvency practitioners in respect of investigations by the Insolvency Practitioners Association.

Experience of advising on various partnership issues e.g. whether a salaried partner liable for partnership debts under the "holding out" principle.

T: +44 (0)20 7583 3335 F: +44 (0)20 7353 0329 E: chambers@fountaincourt.co.uk DX: 5 LDE Singapore 10 Collyer Quay Ocean Financial Centre #40-38 Singapore 049315



Insurance

Notable Insurance cases

Acting (with Michael Brindle KC) in an insurance dispute arising out of mortgage endowment mis-selling.

Acting (with David Railton KC and Marcus Smith QC) for the insured in a three-week arbitration concerning an insurance dispute with Lloyd's insurers regarding viatical policies.

Acting (with Michael Brindle KC and Craig Orr QC) for insurers in connection with claims arising out of the collapse of Enron.

Advising on insurance coverage issues relating to professional indemnity insurance.

International Arbitration

Chloe accepts appointments to act as an arbitrator. Given her experience in both commercial work and regulatory / disciplinary work, she is particularly well placed to act as arbitrator in cases which raise both commercial and regulatory issues.

Notable International Arbitration cases

Acting in an arbitration regarding a dispute arising under Cayman Islands law.

Acting (with Michael Brindle KC) in an insurance dispute arising out of mortgage endowment mis-selling.

Acting (with David Railton KC and Marcus Smith QC) for the insured in a three-week arbitration concerning an insurance dispute with Lloyd's insurers regarding viatical policies.

Regularly advising on issues of regulatory compliance.

Privilege, Confidentiality & Conflicts of Interest

Chloe regularly advises clients, including law firms, on questions of privilege. She is a specialist in professional discipline and regulatory work and, in that context, regularly advises on issue of conflicts of interest and confidentiality. She is also a co-author of *The Law of Privilege*, OUP, third edition (edited by Bankim Thanki KC).

T: +44 (0)20 7583 3335 F: +44 (0)20 7353 0329 E: chambers@fountaincourt.co.uk DX: 5 LDE Singapore 10 Collyer Quay Ocean Financial Centre #40-38 Singapore 049315



Reported Privilege, Confidentiality & Conflicts of Interest cases

Lumsdon v Legal Services Board, BSB and SRA [2014] EWHC 28 (Admin)

Acting for the SRA in the successful defence of the judicial review of the QASA scheme. Chloe took the lead, on behalf of those representing the regulators, in arguing the privilege point that arose.

Acting in a number of cases for the SRA in which the allegations included allegations of a solicitor acting in conflict of interest. E.g. *Law Society v Baxendale-Walker and Auden* (2006).

Hilton v Barker Booth and Eastwood [2005] UKHL 8

Acting for the successful appellant (with Timothy Dutton CBE KC) in the House of Lords (duty of confidentiality to one client is no answer, in defence of a professional negligence claim, to duty to disclose to the claimant client. The solicitor who takes on two instructions with conflicting duties has only him/herself to blame and will inevitably breach his/her duty to one client).

Professional Discipline

Reported Professional Discipline cases

SRA v Bretherton (2023)

Acting for the Respondent in respect of allegations brought by the SRA.

SRA v Mishcon de Reya [2021]

Acting for the firm in respect of allegations brought by the SRA that the firm had acted as a banking facility.

SRA v Senior, Baker McKenzie & Others [2020]

Acting for the firm in respect of allegations brought by the SRA in relation to an internal investigation conducted by the firm.

SRA v Kabir & Anderson

Acting for the SRA (leading Alexandra Whelan).

SRA v James, SRA v MacGregor & SRA v Naylor [2018] 4 WLR 163

T: +44 (0)20 7583 3335 F: +44 (0)20 7353 0329 E: chambers@fountaincourt.co.uk DX: 5 LDE Singapore 10 Collyer Quay Ocean Financial Centre #40-38 Singapore 049315



Acting for the SRA on three appeals to the Divisional Court against decisions by the SDT not to strike solicitors off the Roll for dishonest conduct.

SRA v Main [2018] EWHC 3666 (Admin)

Acting for the SRA on the appeal to the Divisional Court.

SRA v Malins [2018] EWCA Civ 366

Acting for the SRA (with Richard Coleman KC) on the appeal to the Court of Appeal concerning the meaning of the concept of integrity/lack of integrity in the regulatory context.

Acting for the SRA in disciplinary proceedings brought against Clifford Chance and a partner, Mr Panayides.

Acting for the SRA in various cases brought before the Solicitors Disciplinary Tribunal, including SRA v Obatolu and Kola and SRA v Obatolu and Scott-Emuakpor, SRA v Mohammed, SRA v Lee, SRA v Goldberg, SRA v Ford, SRA v Wheeler.

Broomhead v SRA [2014] EWHC 2772 (Admin)

Acting for the SRA in respect of the disciplinary proceedings and appeal.

SRA v Thaker

Acting for the SRA in disciplinary proceedings in 2012 (with Timothy Dutton CBE KC). Also acting for the SRA in a judicial review application brought by Mr Thaker during the course of those proceedings (Thaker v SRA [2012] EWHC 432 (Admin)).

SRA v Yildiz

Acting for the SRA on a solicitor's claim for withdrawal of an intervention and for the SRA in the subsequent disciplinary proceedings.

SRA v Higgott

Acting for the SRA (heard by the Solicitors' Disciplinary Tribunal in October 2011).

SRA v Baptist, Benz, Taylor & Wild (2010-2011)

Acting for the SRA (with Nicholas Peacock KC).

12

London Fountain Court Chambers Fountain Court Temple London EC4Y 9DH

T: +44 (0)20 7583 3335 F: +44 (0)20 7353 0329 E: chambers@fountaincourt.co.uk DX: 5 LDE Singapore 10 Collyer Quay Ocean Financial Centre #40-38 Singapore 049315



Acting for the SRA (with Richard Coleman KC) in the disciplinary proceedings brought against certain former partners of Rowe Cohen regarding their work in connection with the TAG Scheme (2009).

Acting at the Solicitors Disciplinary Tribunal for a solicitor charged with dishonest, alternatively reckless, breaches of the Solicitors Accounts Rules and dishonest, alternatively culpable, overcharging (October 2009). The solicitor was acquitted of dishonesty.

Law Society v Baxendale-Walker & Auden

Acting (with Timothy Dutton CBE KC) for the Law Society on Mr Baxendale-Walker's appeal from phase 1 of the SDT disciplinary findings and on the Law Society's successful cross-appeal on costs (Divisional Court [2006] 3 All ER 675, Court of Appeal [2007] 3 All ER 330). Acting (with Timothy Dutton CBE KC) for the Law Society on Mr Baxendale-Walker's appeal to the Master of the Rolls against conditions on his practising certificate (2005) and responding to an application for judicial review of a decision of the SDT (2005 -2006). Also acting (with Timothy Dutton CBE KC) in the phase 2 disciplinary proceedings (against Mr Baxendale-Walker and Mr Auden).

Miners Compensation cases (2006 onwards)

Acting for the Law Society/SRA on disciplinary/regulatory issues including *SRA v Raleys*, *SRA v Nulty and Trotter* and *SRA v Robinson* (with Katrina Wingfield).

Notable Professional Discipline cases

Regularly advising on issues of regulatory compliance.

Acting for various law firms and individual solicitors in respect of SRA investigations.

Acting for insolvency practitioners in respect of investigations by the Insolvency Practitioners Association.

Acting (with Bankim Thanki KC) in respect of a commodities investigation.

Professional Negligence

Reported Professional Negligence cases

Al Khorafi & Others v Bank Sarasin Alpen & Another

Acting (with Michael Brindle KC) for a financial services provider in its appeal to the DIFC Court of Appeal. The case concerns alleged mis-selling of structured notes, raising issues as to compliance with the regulatory regime in Dubai.

T: +44 (0)20 7583 3335 F: +44 (0)20 7353 0329 E: chambers@fountaincourt.co.uk DX: 5 LDE Singapore 10 Collyer Quay Ocean Financial Centre #40-38 Singapore 049315



Al Sulaiman v Credit Suisse & Plurimi [2013] EWHC 400 (Comm)

Acting (with Patricia Robertson KC) for investment advisers in successfully defending a claim for alleged mis-selling of structured notes and alleged mismanagement of an advisory portfolio.

Hilton v Barker Booth & Eastwood [2005] UKHL 8

Acting for the appellant (with Timothy Dutton CBE KC) in the House of Lords.

Notable Professional Negligence cases

Basalt ∨ RBS

Acting (with Raymond Cox KC) for claimants on a swaps mis-selling claim.

Acting (with Patricia Robertson KC) for a barrister facing a claim of professional negligence.

McEneaney & Others v Ulster Bank & Another

Acting (with Patricia Robertson KC) in the defence of group litigation for alleged mis-selling of investments in a company which held an indirect interest in commercial property.

Acting for a solicitor defending a claim for professional negligence.

Acting (with Mark Simpson KC) for solicitors facing a claim arising out of tax advice given on a Management Buy Out.

Box Clever Securitisation litigation

Acting (with Michael Brindle KC and John Taylor KC) in this matter concerning duties of arranger and joint lead manager in the context of a securitisation.

Acting (with Mark Simpson KC) for a firm of valuers in a £1.8 million claim arising out of a valuation of a development site and two HMOs.

Acting (with Michael Brindle KC and Craig Orr) for Close Brothers Corporate Finance in defending a claim for £180 million by UGC.

London Fountain Court Chambers Fountain Court Temple London EC4Y 9DH

T: +44 (0)20 7583 3335 F: +44 (0)20 7353 0329 E: chambers@fountaincourt.co.uk DX: 5 LDE Singapore 10 Collyer Quay Ocean Financial Centre #40-38 Singapore 049315



Directory Quotes

"She is extremely responsive and user-friendly, and her attention to detail is phenomenal. She is an excellent advocate: tenacious and charming when addressing a difficult tribunal, and she is extremely effective in crossexamination."

The Legal 500

"Chloe is an incredibly impressive barrister. She leaves no stone unturned and is very knowledgeable."

The Legal 500

"Chloe is deeply impressive. She quickly identifies the best strategy and then pro-actively drives her client's case forward. Her written and oral advocacy is persuasive and forceful."

The Legal 500

"Chloe knows exactly how to approach a case tactically and strategically. She is very responsive and user-friendly."

The Legal 500

"Confident and forceful with good powers of analysis."

The Legal 500

"An expert in solicitors' disciplinary proceedings."

Chambers & Partners

"Brilliant to work with, incredibly focused, knows the law well and prepares thoroughly." "She knows her stuff inside and out."

Chambers & Partners

"An excellent advocate. She is forensic in her approach and quick at getting back to you. Her drafting is excellent."

London Fountain Court Chambers Fountain Court Temple London EC4Y 9DH

T: +44 (0)20 7583 3335 F: +44 (0)20 7353 0329 E: chambers@fountaincourt.co.uk DX: 5 LDE Singapore 10 Collyer Quay Ocean Financial Centre #40-38 Singapore 049315



Chambers & Partners

"Absolutely forensic in her examination of the evidence, she knows exactly where the issues lie."

The Legal 500

Directory Rankings

Chambers & Partners

• Professional Discipline

The Legal 500

- Administrative Law & Human Rights
- Professional Disciplinary & Regulatory Law
- Professional Negligence

Education

- LLB (First Class) (top First in the University), King's College London (1999)
- BCL (Distinction), Brasenose College, Oxford (2000)
- BVC (Very Competent), Inns of Court School of Law (2001)

Appointments, Memberships and Prizes

- Sweet and Maxwell Prize, King's College London (1999) (highest Honours in the LLB)
- Strand Trust Prize, King's College London (1998 and 1999) (highest overall marks in Parts I and II of the LLB)
- Arts and Humanities Research Board Award for Postgraduate Study (1999)
- Joint proxime accessit of the Vinerian Scholar (i.e. second highest mark on the BCL)
- Restitution Prize, Oxford University (2000) (highest mark in the university for law of Restitution on the BCL)
- Mansfield Scholarship, Lincoln's Inn (2000)
- Hardwicke Entrance Award, Lincoln's Inn (2000)
- Taught law of Restitution at King's College London, September-December 2000
- CEDR accredited mediator
- Member of COMBAR

London Fountain Court Chambers Fountain Court Temple London EC4Y 9DH

T: +44 (0)20 7583 3335 F: +44 (0)20 7353 0329 E: chambers@fountaincourt.co.uk DX: 5 LDE Singapore 10 Collyer Quay Ocean Financial Centre #40-38 Singapore 049315



- Member of ARDL (The Association of Regulatory and Disciplinary Lawyers)
- Appointed as a Recorder of the South Eastern Circuit, as of 30 May 2023

Publications

- Joint author of Balancing parallel governing body and police investigations: When to press pause and when to push back; LawinSport, 26 January 2024.
- Editor of Cordery on Legal Services (sections on legal professional privilege).
- Avanti Communications and the use of textbooks and articles when undertaking research, *Butterworths Journal of International Banking & Financial Law* (November 2023).
- "Interest under a loan linked to a base rate: issues to consider" *Butterworths Journal of International Banking & Financial Law* (June 2022).
- Contributor to *Disciplinary and Regulatory Proceedings*, 10th edition, edited by Dame Alison Foster DBE, Greg Treverton-Jones QC and Saima Hanif (2019, 10th edition, Lexis Nexis).
- Joint author of *The Law of Privilege*, edited by Bankim Thanki QC, third edition (2018, Oxford University Press).
- Joint author of *Law of Bank Payments*, 5th edition, edited by Michael Brindle QC and Raymond Cox QC (2017, Sweet & Maxwell).
- The Scope of Legal Professional Privilege, *R (on the application of Prudential Plc) v Special Commissioner of Income Tax* [2013] UKSC 1; [2013] 2 W.L.R. 325 SC" P.N. 2013, 29(2), 132-135.
- "Do they bear comparison?" S.J. 2013, 157(24), 17.
- Review of "Enrichment and Restitution in New Zealand" L.I. 2001, 15(4), 246-254.

Awards



London Fountain Court Chambers Fountain Court Temple London EC4Y 9DH

T: +44 (0)20 7583 3335 F: +44 (0)20 7353 0329 E: chambers@fountaincourt.co.uk DX: 5 LDE Singapore 10 Collyer Quay Ocean Financial Centre #40-38 Singapore 049315