

Agreement for the Supply of Legal Services by Andrew Pullen Law Practice Private Limited

Andrew Pullen Law Practice Private Limited (“APLP”) and the Solicitor agree that APLP will supply the Services for the benefit of the Lay Client on the terms set out below and subject to the General Terms and Conditions of Business for the Supply of Legal Services by Barristers to Solicitors in Commercial Matters (the "General Terms") prepared jointly by COMBAR and The City of London Law Society (CLLS), a copy of which is set out at Appendix 3 hereto.

1. **The Solicitor:**¹
2. **The Lay Client:**²
3. **The Case:**¹
4. **Payment Basis** (clause 9 of the General Terms): Basis A
5. The Barrister's agreed hourly rate (clause 7.2 of the General Terms):³ £
6. The General Terms are amended and supplemented as set out in Appendix 1.
7. Optional clauses:⁴
 - a. Limitation of liability arising solely under contract (clause 12.4 of the General Terms): £
 - b. Minimum insurance cover (clause 20.2 of the General Terms): £
 - c. Limitation of general liability (clause 20.3 of the General Terms): £
 - d. Monies on account to be obtained by the Solicitor (clause 20.4 of the General Terms):
£
 - e. Barrister agrees to work product being stored on the Solicitor’s internal know-how system (clause 20.6 of the General Terms): Yes

Signed

.....
The Barrister’s Clerk

.....
The Solicitor

Date:

Date:

Notes: ¹ This must be completed. ² This will normally be completed (clause 2.4 of the General Terms). ³ This may be completed.

⁴ Where this is completed the relevant clauses in clause 20 of the General Terms will apply

Appendix 1
Amendments to the General Terms and Supplementary Terms

1. In this Agreement and in the General Terms, “APLP” means Andrew Pullen Law Practice Private Limited, a company incorporated in Singapore under company number 201716210G, with its registered office at 10 Collyer Quay, Ocean Financial Centre #40-36, Singapore 049315.
2. In this Agreement and in the General Terms, “Mr Pullen” means Andrew John Pullen, practising as a member of the Bar of England and Wales.
3. The definition of “Barrister” in clause 1.2 of the General Terms is deleted. In this Agreement and in the General Terms, “the Barrister” means APLP, save that:
 - a. “the Barrister” means Mr Pullen in the first sentence of clause 16.2 of the General Terms, and on the second occasion where it appears in clause 16.3 of the General Terms;
 - b. “the Barrister” means APLP and Mr Pullen in clauses 12.1, 20.6(b), (c) and (d), and 21.1 of the General Terms; and
 - c. in clauses 3.3 and 4.2 of the General Terms, references to the Barrister’s professional obligations shall include:
 - i. Mr Pullen’s professional obligations as a member of the Bar of England and Wales (under the BSB Handbook or otherwise) and as a registered foreign lawyer in Singapore registered under sections 36C and 36P of the Legal Profession Act (Cap.161) of Singapore; and
 - ii. APLP’s obligations as a foreign law practice licensed under section 172 of the Legal Profession Act (Cap.161) of Singapore.
4. In the definition of the “Services” in clause 1.2 of the General Terms, the following shall be inserted after the word “Agreement”:

“(but the Services shall not include any activity which amounts to a reserved legal activity under the Legal Services Act 2007)”.
5. The second sentence of clause 4.1 of the General Terms is amended to read:

“Subject to the duties of APLP, Mr Pullen and the Solicitor to the court, APLP and the Solicitor acknowledge that each of them and Mr Pullen each owes a primary duty to the Lay Client.”
6. In clause 4.3 of the General Terms, the words “Subject to clause 4.4” are deleted and replaced by the words “Subject to clauses 4.4 and clause 25.2”.
7. A new clause 5.2 shall be inserted into the General Terms as follows:

“5.2 Upon signing this Agreement, the Solicitor shall provide the Lay Client with a written copy of Fountain Court Chambers’ Quality Assurance and Complaints Policy (the “Complaints Policy”) and of the Notice to Clients set out in Appendix 2 to the Agreement (the “Notice to Clients”). The Complaints Policy is published on Fountain Court Chambers’ website and written copies of the Complaints Policy and of the Notice to Clients have been provided to the Solicitor.”
8. Clause 6 of the General Terms is amended to read as follows:

“6.1 APLP will be solely responsible for providing the Services under the Agreement.

6.2 APLP will provide the Services through the work of Mr Pullen. APLP may only involve another barrister or other third party in the performance of the Services under the Agreement if APLP obtains the Solicitor’s prior consent, that consent not to be unreasonably withheld.”
9. In clause 10.2(c) of the General Terms, the following shall be added after the word “Wales”: “or to registered foreign lawyers or licensed foreign law practices in Singapore”.
10. Clause 11 of the General Terms is amended to read as follows:

“11.1 APLP’s entering into the Agreement shall constitute APLP’s confirmation that, to the best of APLP’s and Mr Pullen’s knowledge, based on the information available to them at the

time of entering into the Agreement, APLP and Mr Pullen have at the time of entering into the Agreement no conflict of interest or other professional impediment that would render it professionally inappropriate for APLP and/or Mr Pullen to act for the Solicitor or the Lay Client on the Case.

11.2 If, in the course of the Agreement, information emerges that leads APLP and/or Mr Pullen to consider that it is or may be professionally inappropriate for APLP and/or Mr Pullen to continue to act for the Solicitor or the Lay Client, APLP shall inform the Solicitor immediately.

11.2A For the purposes of assessing whether a conflict of interest would arise or has arisen, or whether there is any other professional impediment rendering it inappropriate for APLP and/or Mr Pullen to act for the Solicitor or the Lay Client, any interests or duties of APLP arising from its acceptance of instructions to provide legal services (to the Solicitor, to the Lay Client, or to any other person) shall automatically be treated as interests or duties of Mr Pullen, and vice-versa.

11.3 Neither the Solicitor nor the Lay Client shall have any claim in damages against APLP for breach of this clause 11 unless:

- (a) APLP has admitted in writing that APLP has acted in breach of this clause 11; or
- (b) the Lay Client or the Solicitor has made a complaint to the Bar Standards Board or the Legal Ombudsman that APLP and/or Mr Pullen has acted in breach of rule rC21 of the BSB Handbook, that complaint has been upheld, no appeal or review has been or is being made of that decision and any time limit for appeal or review has expired.

11.3A Neither the Solicitor nor the Lay Client shall have any claim in damages against Mr Pullen (whether at common law (including in negligence), in equity or otherwise) arising out of any failure to inform the Solicitor or Lay Client of any conflict of interest or other professional impediment to Mr Pullen acting or continuing to act for the Solicitor or Lay Client unless clause 11.3(b) above applies.

11.4 Clauses 11.3 and 11.3A do not prevent the Solicitor or Lay Client from seeking relief other than damages (including, without limitation, an interim or final injunction) in respect of any breach by APLP of clause 11.”

11. Clause **19.5** shall apply mutatis mutandis to the processing of, access to and correction of personal data under the Personal Data Protection Act 2012 of Singapore.

12. Clause **20.3** of the General Terms is amended to read as follows:

“20.3 Notwithstanding clause 12, the aggregate liability of (i) APLP and (ii) Mr Pullen (whether at common law (including in negligence), in equity or otherwise) in respect of

- (a) any breach of APLP’s obligations in providing the Services, and/or
- (b) any breach of Mr Pullen’s obligations in connection with the Services; and/or
- (b) all breaches of APLP’s obligations in providing the Services and/or Mr Pullen’s obligations in connection with the Services arising from or which are attributable to (i) the same act or omission, (ii) a series or group of related acts or omissions, (iii) a series or group of similar acts or omissions or (iv) the same originating cause

shall be limited to the lower of the sum stated in paragraph 7(c) of the front sheet of this Agreement or, in the case of a liability of APLP, if APLP is liable solely as a result of breach of these General Terms as set out in clause 12.4, the sum stated in clause 12.4.

13. A new clause **20.3A** shall be inserted into the General Terms as follows:

“For the purposes of clauses 20.3 and 25.1 of this Agreement, the liability of APLP and of Mr Pullen shall include all and any liability for damages, interest and adverse costs.”

14. New clauses **22, 23, 24 and 25** shall be inserted into the General Terms as follows:

“22 Best endeavours to obtain the Barrister’s fees on account

22.1 The Solicitor shall use best endeavours to obtain the Barrister's fees on account in advance of the Services being supplied by the Barrister and shall keep sums held on account in respect of the Barrister's fees in the Solicitor's client account pending payment.

23 Mediation

23.1 In the event of a dispute arising as to the sums due to the Barrister, the Barrister and the Solicitor shall use their best endeavours to settle the dispute within 28 days of the dispute arising, and in the event that settlement does not occur shall engage in mediation by a single agreed mediator.

23.2 In the event that they do not agree on the mediator, the mediator shall be appointed by the Chairman of the Bar Council.

24 Complaints

24.1 Each of the Solicitor and the Lay Client may make a complaint related to this Agreement against Mr Pullen and/or against APLP under Fountain Court Chambers' Quality Assurance and Complaints Policy. Such complaints shall be dealt with by Fountain Court Chambers in the same way as for other members of chambers. Any complaint against APLP shall be treated as a complaint against Mr Pullen for the purposes of the Complaints Policy. APLP agrees to comply, and to procure that Mr Pullen complies, with the outcome of such complaint in accordance with the Complaints Policy.

24.2 Subject to clause 24.3, APLP agrees voluntarily to submit (or, at the option of the Solicitor or Lay Client, to procure that Mr Pullen submits on its behalf) to the Legal Ombudsman scheme established under Part 6 of the Legal Services Act 2007 in respect of any complaint related to this Agreement made by the Solicitor or Lay Client, in order to allow such clients to seek redress from the Legal Ombudsman as if APLP were an authorised person within the meaning of the Legal Services Act 2007. APLP further agrees to comply with the outcome of any complaint related to this Agreement made by the Solicitor or Lay Client to the Legal Ombudsman, whether made against APLP or against Mr Pullen.

24.3 Clause 24.3 applies to this Agreement if and to the extent that the Solicitor and/or the Lay Client would be entitled to make a complaint to the Legal Ombudsman if APLP were an authorised person within the meaning of the Legal Services Act 2007."

25 Mr Pullen's rights and liability

25.1 Mr Pullen is not a party to this Agreement and shall have no liability for breach of the General Terms or of any other provision of the Agreement.

25.2 Subject to and in accordance with clause 21 and the provisions of the Contracts (Rights of Third Parties) Act 1999, Mr Pullen may enforce clauses 6.1, 12.1, 11.2A, 11.3A, 20.3, 20.3A, 20.6(b), (c) and (d), 21, and 25 of the General Terms. Clauses 4.5, 16.5 and 19.1 to 19.4 of the General Terms apply mutatis mutandis to Mr Pullen's rights arising from or in connection with the Agreement under the Contracts (Rights of Third Parties) Act 1999.

Appendix 2

Notice to Clients of Andrew Pullen Law Practice Private Limited

Basis for contracting

- 1) Andrew Pullen is Fountain Court Chambers' first barrister based in Singapore. In order to comply with Singapore immigration and employment law, Mr Pullen is employed by and practises primarily through Andrew Pullen Law Practice Private Limited, a private limited company incorporated under the laws of Singapore ("APLP"). When Mr Pullen is practising through APLP, agreements for the provision of legal services are entered into by APLP, rather than by Mr Pullen personally.¹
- 2) You are being provided with this notice because legal services are to be provided under an agreement entered into by APLP in the matter in which you are instructing Mr Pullen. APLP will therefore have contractual responsibility for the provision of the legal services.

Regulatory

- 3) Whilst Mr Pullen, as a barrister, is an authorised person regulated by the Bar Standards Board in England and Wales, APLP is not an authorised person within the meaning of the Legal Services Act 2007 (UK). Accordingly, APLP does not carry out "reserved legal activities" within the meaning of the Legal Services Act 2007.
- 4) APLP is licensed as a foreign law practice under the Legal Profession Act (Singapore).

Managing conflicts of interest

- 5) Mr Pullen is the sole director and sole shareholder of APLP and is the only lawyer employed by APLP.
- 6) In recognition of this, for the purposes of assessing whether a conflict of interest would arise or has arisen, or whether there is any other professional impediment rendering it inappropriate for APLP and/or Mr Pullen to act in any particular case, any interests or duties of APLP arising from its acceptance of instructions to provide legal services are automatically treated as interests or duties of Mr Pullen, and vice-versa. A term to this effect is included in the terms on which APLP and Mr Pullen accept instructions.

Complaints

- 7) As a member of Fountain Court Chambers, Mr Pullen is subject to Chambers' Quality Assurance and Complaints Policy (the "Complaints Policy"), which is published on Fountain Court Chambers' website. The Complaints Policy applies both to matters where Mr Pullen is instructed as an employee of APLP and to matters where Mr Pullen is instructed personally. Further details of how the Complaints Policy applies to matters where Mr Pullen is instructed as an employee of APLP are set out in APLP's contractual terms of business.
- 8) As a barrister, Mr Pullen is subject to the Legal Ombudsman scheme established under Part 6 of the Legal Services Act 2007.
- 9) In respect of matters where Mr Pullen is instructed as an employee of APLP, APLP (or Mr Pullen on its behalf) will agree voluntarily to submit to the Legal Ombudsman scheme. Further details are set out in APLP's contractual terms of business.

¹ Exceptionally, for example when a matter requires the carrying out of "reserved legal activities", Mr Pullen may agree to provide legal services directly, in which case any agreement for the provision of legal services will be entered into by Mr Pullen personally.

Appendix 3

General Terms and Conditions for the Supply of Legal Services by Barristers to Solicitors in Commercial Matters

1. Definitions and Interpretation

1.1 These are the General Terms and Conditions for the Supply of Legal Services by Barristers to Solicitors in Commercial Matters (the "General Terms").

1.2 In these General Terms, the following words have the following meanings, except where the context requires otherwise:

the "Agreement" means the agreement between the Barrister and the Solicitor (and, if payment is to be made on Basis C set out in clause 9, the Lay Client) for the supply of the Services incorporating these General Terms;

the "Barrister" means the barrister, practising as a member of the Bar of England and Wales, who is supplying the Services in accordance with the Agreement;

a "Business Day" means a day that is neither a weekend nor a public holiday in England;

the "Case" means the particular legal dispute or matter, whether contentious or non-contentious, in respect of which the Barrister is instructed to supply the Services;

the "BSB Handbook" means Part 2 of the Bar Standards Board Handbook, as amended from time to time;

the "Instructions" means the briefs, instructions and requests for work to be done (and all accompanying materials), whether written or oral, given by the Solicitor to the Barrister for the purposes of the supply of the Services by the Barrister;

the "Lay Client" means the Solicitor's client for whose benefit or on behalf of whom the Barrister is instructed by the Solicitor to supply the Services;

the "Services" means the legal services supplied or to be supplied by the Barrister in connection with the Case pursuant to the Instructions provided by the Solicitor and otherwise in accordance with the Agreement; and

the "Solicitor" means the sole practitioner, partnership, limited liability partnership or company who instructs the Barrister to supply the Services, together with all successors.

1.3 In these General Terms:

- (a) an "invoice" includes a fee note not amounting to a VAT invoice;
- (b) reference to a clause is to the relevant clause of these General Terms;
- (c) references to the singular include the plural and vice versa in each case;
- (d) reference to a document includes anything in which information is recorded, whether on paper, electronically or otherwise;
- (e) references to an Act of Parliament, statutory provision or statutory instrument include a reference to that Act of Parliament, statutory provision or statutory instrument as amended, extended or re-enacted from time to time and to any regulations made under it; and

- (f) references to a person or body include references to its successors and assigns.

2. Application of these General Terms

2.1 These General Terms apply, subject to any amendments set out in the Agreement, to all Services supplied by the Barrister on the Instructions of the Solicitor in relation to the Case.

2.2 If payment is to be made on Basis C set out in clause 9, this Agreement will not come into effect until it is signed or confirmed in writing by the Lay Client.

2.3 Where practicable, the Solicitor will send the Barrister Instructions in writing, or will confirm in writing oral Instructions, retaining the Barrister to provide the Services.

2.4 The Agreement will normally indicate the person or persons who is/are to be the Barrister's Lay Client(s) in the Case, and shall do so if payment is to be made on Basis C or Basis D set out in clause 9. If payments are to be made on Basis C or Basis D, the Solicitor will also, to the extent required, provide contact details for the Lay Client.

2.5 In the event of any inconsistency between the Agreement and these General Terms, the Agreement shall prevail.

3. Providing the Services

3.1 The Services the Barrister is to supply in relation to the Case will be described in the Instructions and as may subsequently be agreed between the Barrister and the Solicitor.

3.2 The Barrister will exercise reasonable skill and care in supplying the Services.

3.3 The Barrister supplies the Services on the basis set out in these General Terms and subject to the Barrister's professional obligations under the BSB Handbook.

3.4 The Barrister will supply the Services by or on such date or dates as may be agreed with the Solicitor or, where no specific date has been agreed, will do so within a reasonable time having regard to the urgency and nature of the Instructions. Instructions that are urgent shall be clearly marked as such if the Instructions are in writing or otherwise expressly stated to be urgent by the Solicitor, and time shall be of the essence for the supply of those Services.

4. Benefit of the Services

4.1 Unless otherwise agreed in writing, the Barrister's Services are provided to the Solicitor as the Barrister's client, acting for the benefit of the Lay Client. Subject to the duties of the Barrister and the Solicitor to the court, the Barrister and the Solicitor acknowledge and agree that each owes a primary duty to the Lay Client.

4.2 The Barrister acknowledges the existence of a duty of care owed to the Lay Client at common law, subject to his professional obligations to the Court and under the BSB Handbook.

4.3 Subject to clause 4.4, no one other than the Solicitor and the Barrister has any rights under the Contracts (Rights of Third Parties) Act 1999 or otherwise to enforce any provision of the Agreement.

4.4 The Lay Client may enforce the Agreement subject to and in accordance with clause 21 and the provisions of the Contracts (Rights of Third Parties) Act 1999.

4.5 The Solicitor and the Barrister must obtain the consent of the Lay Client before they rescind by agreement the Agreement so as to extinguish the Lay Client's rights to enforce the Agreement or alter the Lay Client's entitlement under that right. This requirement applies instead of the circumstances set out in section 2(1)(a) to (c) of the Contracts (Rights of Third Parties) Act 1999.

4.6 Clauses 4.4 and 4.5 do not apply if, pursuant to clause 9, payment is to be made on Basis C.

5. The Solicitor's responsibilities

5.1 In order to enable the Barrister to supply the Services in a prompt, effective and professional manner, the Solicitor shall co-operate with and assist the Barrister throughout the duration of the Agreement, including through the provision of Instructions that are adequate and in sufficient time to enable the Barrister to supply the Services within the time required by clause 3.4 above.

6. Responsibility for the Barrister's work

6.1 The Barrister will be solely responsible for providing the Services under the Agreement. The Barrister may only involve another barrister or other third party in the performance of the Services under the Agreement if the Barrister obtains the Solicitor's prior consent, that consent not to be unreasonably withheld.

7. Charges and expenses

7.1 The Barrister's fees will be calculated as agreed between the Barrister and the Solicitor, whether prospectively or retrospectively.

7.2 Unless otherwise agreed, the Barrister's fees will be calculated by reference to the amount of time reasonably required to supply the Services and a reasonable hourly rate, taking into account all the circumstances of the Case.

7.3 The Barrister shall notify the Solicitor at the outset of the Case of the Barrister's current standard hourly rates. For the purposes of determining the reasonable hourly rate, the circumstances of the Case include: the complexity of the issues; the speed at which the Services must be supplied; the expertise or specialist knowledge that the Services require; and the value of the property or subject matter involved.

7.4 Unless otherwise agreed, the Barrister's reasonable hourly rate may be reviewed from time to time, and the Barrister will notify the Solicitor of any proposed increase in that rate. The Solicitor shall not unreasonably refuse to agree an increase in the Barrister's rate. Any increase in the Barrister's rate will take effect from the date when the Solicitor agrees to an increase or should reasonably have agreed to an increase.

7.5 The Barrister may agree to supply the Services for a fixed fee. If the Barrister is unable to supply Services for

the fixed fee agreed, any additional fees over and above the fixed fee not expressly agreed by the Solicitor in writing are incurred at the Barrister's own risk.

7.6 Unless the contrary is agreed, a fee agreed for the Barrister's Services shall be exclusive of any applicable Value Added Tax (or any tax of a similar nature), which shall be added to the Barrister's fee at the appropriate rate.

8. Estimate of costs/time

8.1 If requested to do so, the Barrister shall provide the Solicitor with an estimate of the Barrister's likely fees and disbursements in relation to any Services or the Case. Any estimate of likely fees and disbursements does not, unless otherwise agreed, amount to a promise or agreement that the Barrister will perform those Services within a fixed time or for a fixed fee, but represents the Barrister's best estimate based on the information available to the Barrister at the time.

8.2 The Barrister shall notify the Solicitor promptly if any estimate of time and/or fees and disbursements that the Barrister has provided to the Solicitor needs to be revised for any reason.

8.3 If for any reason any Instructions or the Case do not proceed to completion, the Barrister shall only charge fees for work actually done and disbursements actually incurred, unless otherwise agreed (as, for example but without limitation, where stage payments have been agreed and have fallen due prior to termination of the Agreement).

9. Payment and billing arrangements

9.1 The Barrister and the Solicitor shall agree the basis upon which payment is to be made to the Barrister for the Services, which, unless otherwise agreed, shall be one of Basis A (Solicitor Liability), Basis B (Solicitor Endeavours to Collect), Basis C (Lay Client Liability) or Basis D (Lay Client Sole Responsibility) as further described below.

9.2 In the event of late payment of sums properly due to the Barrister, the Barrister is entitled to interest in accordance with the Late Payment of Commercial Debts (Interest) Act 1998 from the date upon which payment was due to the date of payment.

9.3 Any invoice setting out the Barrister's fees sent by the Barrister shall state (i) the period it covers, (ii) (where the fees are payable on an hourly rate) an itemised description of the time spent in supplying the Services, (iii) the fees charged, (iv) any disbursements incurred and the cost of those disbursements, and (v) Value Added Tax (or any tax of a similar nature).

Basis A (Solicitor Liability)

9.4 The Barrister shall send to the Solicitor an invoice setting out the Barrister's fees in respect of the Services or part of the Services in accordance with any payment schedule agreed with the Solicitor, promptly on request by the Solicitor or otherwise as may be appropriate given the nature and circumstances of the Case.

9.5 The Solicitor shall pay to the Barrister any sums properly due on the Barrister's invoice in accordance with any payment schedule agreed by the Solicitor and the

Barrister or otherwise within 30 days of receipt by the Solicitor of the invoice.

Basis B (Solicitor Endeavours to Collect)

9.6 The Barrister shall send to the Solicitor an invoice setting out the Barrister's fees in respect of the Services or part of the Services in accordance with any payment schedule agreed with the Solicitor, promptly on request by the Solicitor or otherwise as may be appropriate given the nature and circumstances of the Case.

9.7 The Solicitor will send the Barrister's invoice to the Lay Client in accordance with the payment schedule agreed between the Barrister and the Solicitor, or otherwise with the next invoice that the Solicitor sends to the Lay Client for the Solicitor's own fees.

9.8 The Solicitor shall pay to the Barrister any sums properly due on the Barrister's invoice within five Business Days of receipt by the Solicitor from the Lay Client of payment in respect of the Barrister's fees.

9.9 The Solicitor will endeavour to collect from the Lay Client the Barrister's fees properly due in the same manner as the Solicitor endeavours to collect the Solicitor's own fees. The Solicitor is authorised by the Barrister to receive payment of the Barrister's fees from the Lay Client.

9.10 The Solicitor will advise the Barrister promptly if the Solicitor has reason to believe that the Lay Client will be unable or unwilling to pay the Barrister's fees, including (without limitation) if (a) the Lay Client refuses to pay the Solicitor's or Barrister's fees, (b) the Lay Client notifies the Solicitor of a possible claim against the Solicitor or Barrister or (c) the Solicitor becomes aware that the Lay Client is subject to any insolvency process.

9.11 If the Barrister requests, the Solicitor shall promptly assign any cause of action against the Lay Client in respect of the Barrister's fees to the Barrister without any further consideration and shall give the Barrister such additional assistance as the Barrister reasonably requests to assist the Barrister to take action to recover the Barrister's fees from the Lay Client (including, without limitation, the production of documents reasonably requested by the Barrister and the delivery by the Solicitor of a bill of costs in accordance with the requirements of section 69 of the Solicitors Act 1974).

9.12 If the Barrister's claim against the Lay Client to be paid the Barrister's fee fails in whole or in part, or would have failed in whole or in part if pursued by the Barrister, on account of a defence or cross-claim of the Lay Client, the Solicitor shall promptly pay any balance of the Barrister's fees outstanding to the extent that the defence or cross-claim arises from the negligence or other breach by the Solicitor of the Solicitor's obligations to the Lay Client and was not caused by any breach of duty by the Barrister.

Basis C (Lay Client Liability)

9.13 The Solicitor, on behalf of the Lay Client, shall agree with the Barrister the fees to be paid for the Services but shall not be liable for payment of the Barrister's fees, which shall be the sole responsibility of the Lay Client.

9.14 The Barrister shall send to the Lay Client, with a copy to the Solicitor, an invoice addressed to the Lay

Client setting out the Barrister's fees in respect of the Services or part of the Services in accordance with any payment schedule agreed with the Solicitor, promptly on request by the Solicitor or otherwise as may be appropriate given the nature and circumstances of the Case.

9.15 The Lay Client shall pay to the Barrister any sums properly due on the Barrister's invoice in accordance with any payment schedule agreed with the Barrister or otherwise within 30 days of receipt by the Lay Client of the invoice.

9.16 If Basis C applies, the Lay Client shall be a party to the agreement incorporating these General Terms between the Solicitor and the Barrister, and the Barrister, the Lay Client and the Solicitor shall accordingly each be entitled to enforce the agreement incorporating these General Terms.

Basis D (Lay Client Sole Responsibility)

9.17 The Lay Client shall agree with the Barrister the fees to be paid to the Barrister for the Services. The Solicitor shall not be liable to pay those fees or otherwise concerned with those fees, which shall be a matter solely between the Barrister and the Lay Client.

10. Confidential information

10.1 Subject to clause 10.2, the Barrister shall keep confidential all information provided to the Barrister in connection with the Case ("Information").

10.2 The Barrister may only disclose Information if and to the extent that:

- (a) disclosure is required by law;
- (b) disclosure is authorised by the Solicitor or Lay Client;
- (c) disclosure is required by the professional rules applicable to Barristers practising in England and Wales;
- (d) the Information is already in the public domain other than as a result of breach by the Barrister of the Barrister's obligations; or
- (e) disclosure is made to a pupil or mini-pupil of the Barrister.

10.3 If a pupil or mini-pupil of the Barrister to whom the Barrister has disclosed Information under clause 10.2(e) fails to keep that Information confidential in accordance with this clause 10, that failure shall constitute breach by the Barrister of this clause 10.

10.4 If the Barrister receives a request for the disclosure of Information and the Barrister considers that the Barrister is obliged, whether under clause 10.2(a), clause 10.2(c) or otherwise, to comply with that request, the Barrister will inform the Solicitor of the request as soon as the Barrister is lawfully able to do so.

11. Conflicts of interest

11.1 The Barrister's entering into the Agreement shall constitute the Barrister's confirmation that, to the best of the Barrister's knowledge based on the information available to the Barrister at the time of entering into the Agreement, the Barrister has at the time of entering into

the Agreement no conflict of interest or other professional impediment that would render it professionally inappropriate for the Barrister to act for the Solicitor or the Lay Client on the Case.

11.2 If, in the course of the Agreement, information emerges that leads the Barrister to consider that it is or may be professionally inappropriate for the Barrister to continue to act for the Solicitor or the Lay Client, the Barrister shall inform the Solicitor immediately.

11.3 Neither the Solicitor nor the Lay Client shall have any claim in damages against the Barrister for breach of this clause 11 unless:

- (a) the Barrister has admitted in writing that the Barrister has acted in breach of this clause 11; or
- (b) the Lay Client or the Solicitor has made a complaint to the Bar Standards Board or the Legal Ombudsman that the Barrister has acted in breach of rule rC21 of the BSB Handbook, that complaint has been upheld, no appeal or review has been or is being made of that decision and any time limit for appeal or review has expired.

11.4 Clause 11.3 does not prevent the Solicitor or Lay Client from seeking relief other than damages (including, without limitation, an interim or final injunction) in respect of any breach by the Barrister of clause 11.

12. Liability

12.1 The Barrister is not liable for any loss or damage suffered by any persons, firms or partnerships other than the Lay Client and the Solicitor.

12.2 The Barrister shall arrange and maintain professional indemnity insurance as required by the BSB Handbook or, if higher, to the level, if any, set out in the Agreement as provided in clause 20.2.

12.3 Save as otherwise expressly agreed by reference to optional clause 20.3 below, nothing in these General Terms excludes or limits any liability (whether at common law (including in negligence), in equity or otherwise):

- (a) that the Barrister would have had to the Solicitor,
- (b) that the Barrister would have had to the Lay Client or
- (c) that the Solicitor would have had to the Barrister

had there been no contract (whether or not incorporating these General Terms) with regard to the Case between the Barrister and the Solicitor or between the Barrister, the Solicitor and the Lay Client.

12.4 However, if:

- (a) the Barrister is liable to the Solicitor,
- (b) the Barrister is liable to the Lay Client or
- (c) the Solicitor is liable to the Barrister (save in relation to fees)

solely as a result of breach of these General Terms or of any other contractual provision of the Agreement and would not otherwise have been liable (whether at common law (including in negligence), in equity or otherwise), that liability shall be limited to the sum stated in the

Agreement. If no such sum is stated, the limit of that liability will be £100,000, being the highest limit of cover for such liabilities provided to Barristers by the Bar Mutual Indemnity Fund.

13. Copyright

13.1 All copyright and other intellectual property rights of whatever nature in or attaching to the Barrister's work product, including all documents, reports, written advice or other materials provided by the Barrister to the Solicitor or the Lay Client belong to and remain with the Barrister. The Solicitor and the Lay Client have the right and licence to use the Barrister's work product for the particular Case and the particular purpose for which it is prepared. If the Solicitor or the Lay Client wishes to use copies of the Barrister's work product for purposes other than those for which it is prepared, this will require the express written permission of the Barrister. The moral rights of the Barrister in respect of his work product are asserted.

13.2 All copyright and other intellectual property rights attaching to the material provided by the Solicitor to the Barrister in or with any Instructions ("Material") belong to the Solicitor, the Lay Client or a third party, as the case may be. The Barrister is permitted to make use of the Material in order to provide the Services. If the Barrister wishes to use the Material for any other purpose, the Barrister must obtain the prior written consent of the Solicitor and/or the Lay Client.

14. Retention and storage of documents

14.1 Subject to any agreement to the contrary, during the course of the Case the Barrister shall retain those documents as in the Barrister's reasonable professional judgment it is proper to retain, and for this purpose the Barrister may make or keep copies of documents.

14.2 Subject to any agreement to the contrary, at the completion of the Case the Barrister:

- (a) may, and shall at the Solicitor's request, return to the Solicitor all documents in the Barrister's possession in connection with the Case, save that the Barrister may retain personal notes, a copy of the instructions and the Barrister's work products;
- (b) may otherwise retain such documents relating to the Case as in the Barrister's reasonable professional judgment it is proper to retain, and for this purpose the Barrister may make or keep copies of such documents and, after obtaining the Solicitor's consent, destroy the documents originally supplied; and
- (c) may, and shall at the Solicitor's request, return to the Solicitor any property (such as exhibits and models) to which the Solicitor, the Lay Client or any third party is entitled, and otherwise retain such property as in the Barrister's reasonable professional judgment it is proper to retain.

15. Electronic communications

15.1 Subject to any agreement to the contrary, the Barrister may communicate with the Solicitor by e-mail. Documents sent to the Solicitor by e-mail need not be encrypted. If the Solicitor requires a greater level of

security in electronic communications, the Solicitor shall notify the Barrister of this, and the Solicitor and the Barrister shall use their best endeavours to agree and implement an e-mail protocol, incorporating encryption standards, on the basis of best commercial practice at the time.

15.2 The Solicitor and the Barrister shall use industry standard firewall and anti-virus protection.

16. Termination

16.1 The Solicitor or, if a party to the agreement incorporating these General Terms, the Lay Client may at any time terminate the Agreement with immediate effect by giving notice to the Barrister.

16.2 The Agreement will terminate automatically as soon as the Barrister is professionally obliged pursuant to rule rC25 of the BSB Handbook to cease to act and has complied with any requirements in the BSB Handbook for doing so. The Barrister shall inform the Solicitor immediately the Barrister becomes aware of such an obligation.

16.3 The Barrister may terminate the Agreement when the Barrister is entitled to cease to act pursuant to rule rC26 of the BSB Handbook and has complied with any requirements in the BSB Handbook for doing so.

16.4 The Barrister may terminate the Agreement if:

- (a) fees properly due to the Barrister have not been paid by their due date;
- (b) the Barrister has given at least ten Business Days' notice in writing to the Solicitor or, if Basis D applies, to the Lay Client copied to the Solicitor, of the Barrister's intention to terminate the Agreement because of the non-payment; and
- (c) the fees have not been paid by the expiry of the time given by that notice.

16.5 Termination of the Agreement, whether under this clause or otherwise, does not prejudice any accrued liabilities, rights and/or remedies of the Barrister, the Lay Client or the Solicitor under the Agreement.

17. Publicity

17.1 The Barrister may not disclose to third parties that the Lay Client is or has been a client of the Barrister, or that the Barrister is acting on a Case, unless the contrary is agreed or these matters are in the public domain other than as a result of breach by the Barrister of the Barrister's obligations.

18. Authority to give and receive Instructions

18.1 If the Barrister and the Solicitor agree that payment is to be made to the Barrister on Basis B, the Solicitor warrants to the Barrister that the Solicitor has authority from the Lay Client to give Instructions to the Barrister to supply the Services in accordance with these General Terms and that the Lay Client is obliged to pay the Solicitor in respect of those Services in accordance with the terms (including the payment schedule) agreed between the Barrister and the Solicitor.

18.2 If the Barrister and Solicitor agree that payment is to be made to the Barrister on Basis C, the Solicitor warrants

to the Barrister that the Solicitor has authority from the Lay Client to agree that the Lay Client is obliged to pay the Barrister in accordance with these General Terms and that the Lay Client shall be a party to the agreement incorporating these General Terms as set out in clause 9.16.

18.3 Unless the Solicitor is informed otherwise by the Barrister, the Barrister's clerk or clerks have full authority to bind the Barrister on all matters in relation to fees and the acceptance and return of instructions in relation to a Case.

19. Miscellaneous

19.1 Subject to clause 9.11, none of the Solicitor, the Barrister or the Lay Client may assign or transfer the benefit or burden of the Agreement or any rights arising from or in connection with the Agreement (including, without limitation, rights under the Contracts (Rights of Third Parties) Act 1999) without the prior written consent of all other parties.

19.2 Except where expressly stated, nothing done or not done by the Barrister, the Lay Client or the Solicitor constitutes a waiver of that party's rights under or arising from the Agreement.

19.3 These General Terms may be varied if, but only if, agreed in writing.

19.4 If any term of these General Terms is or becomes illegal, invalid or unenforceable, whether in whole or in part, the remainder of the General Terms will remain valid and enforceable.

19.5 The Barrister is a data controller for the purposes of the Data Protection Act 1998 and is bound by the Act amongst other things, to take appropriate technical and organisational measures against unauthorised processing of personal data and against accidental loss or destruction of, or damage to, personal data. The Barrister is entitled to process (which includes obtaining, consulting, holding, using and disclosing) personal data of the Lay Client, the Solicitor and others to enable the Barrister to provide the Services, to liaise with the Solicitor in respect of the Lay Client's case or on the Lay Client's behalf, to maintain and update client records, to produce management data, to prevent crime, to comply with regulatory requirements and as permitted or required by law. The Lay Client and the Solicitor each have a right of access and a right of correction in respect of their personal data which the Barrister holds about them, in accordance with data protection legislation.

19.6 The Standard Contractual Terms for the Supply of Legal Services by Barristers to Authorised Persons 2012 published on the Bar Standards Board's website are excluded in their entirety.

20. Optional clauses

20.1 None of clauses 20.2 to 20.6 below have effect unless the Barrister and the Solicitor specifically agree in paragraph 7 on the front sheet of the Agreement that one or more of them should do so.

20.2 The Barrister shall arrange and maintain professional indemnity insurance in the minimum sum provided in paragraph 7 of the front sheet of the Agreement.

20.3 Notwithstanding clause 12, the Barrister's liability (whether at common law (including in negligence), in equity or otherwise) in respect of

- (a) any breach of the Barrister's obligations in providing the Services, and/or
- (b) all breaches of the Barrister's obligations in providing the Services arising from or which are attributable to (i) the same act or omission, (ii) a series or group of related acts or omissions, (iii) a series or group of similar acts or omissions or (iv) the same originating cause

shall be limited to the lower of the sum stated in paragraph 7(c) of the front sheet of this Agreement or, if the Barrister is solely liable as a result of breach of these General Terms as set out in clause 12.4, the sum stated in clause 12.4.

20.4 If the Solicitor and the Barrister agree that the Solicitor will obtain from the Lay Client and hold in the Solicitor's client account monies on account of the Barrister's fees but the Solicitor does not receive in full those monies by the date agreed between the Solicitor and the Barrister for their receipt:

- (a) the Solicitor shall promptly advise the Barrister that the Solicitor has not received in full those monies on account; and
- (b) the Barrister shall have no further obligation to perform the Services; but
- (c) if the Solicitor fails to advise the Barrister that the Solicitor has not received in full those monies on account, the Solicitor shall be personally liable to pay the Barrister's fees up to a sum equal to the monies on account that the Solicitor should have received but did not receive, whether or not the Solicitor is paid by the Lay Client.

20.5 If the Solicitor has agreed with the Barrister that the Solicitor will procure that the Lay Client provides monies

on account of the Barrister's fees and that those monies on account will be held by Bar Services Company Limited ("BARCO") on escrow, the Solicitor shall procure that the Lay Client executes such documents and agreements as may reasonably be required by BARCO for that purpose.

20.6 Where the Barrister has agreed that the Solicitor may store the Barrister's work product in the Solicitor's internal know-how system or equivalent ("KH System"):

- (a) that work product must be stored and managed in accordance with "Use of Counsel's opinions – copyright and confidentiality" published by The Law Society;
- (b) the Barrister accepts no duty of care, whether to the Solicitor or anyone else, in relation to the use of the Barrister's work product as a result of its storage on the Solicitor's KH System;
- (c) the Solicitor agrees that the Barrister shall have no liability of any kind (whether to the Solicitor or anyone else) arising out of or connected with the use of the Barrister's work product as a result of its storage on the Solicitor's KH System;
- (d) the Solicitor agrees to indemnify the Barrister against any loss which the Barrister may suffer arising out of or connected with the use of the Barrister's work product as a result of its storage on the Solicitor's KH System.

21. Law and jurisdiction

21.1 These General Terms and the Agreement shall be governed by the law of England and Wales. The law applicable to any non-contractual obligations owed by the Barrister or the Solicitor arising out of or in connection with the Agreement or the Barrister's Services shall also be the law of England and Wales.

21.2 Any dispute arising out of or in connection with the Agreement or the Services shall be subject to the exclusive jurisdiction of the courts of England and Wales, to which the parties irrevocably submit.